



Operations Support

Efficient processes, technology, and outsourcing are the keys to operating as an Empowered Advisor. Having the opportunity to outsource your end to end operations to a qualified team that provides personalized solutions, is simply stated, a game changer.

Operation Solutions for the Empowered Advisor.

Our approach includes all aspects of practice operations to provide you with a complete solution for back and mid-office support to ensure your focus and remain where you want it

NEW ACCOUNT PROCESSING

- Complete paperless processing solution
- Forms Builder
- Detailed updates via ticketing system (Service Portal)
- Follow-up with custodians on new accounts/transfers
- NIGO resolution

TECHNOLOGY SUPPORT & IMPLEMENTATION

- Orion tier 1 support
- Office staff training
- Proprietary systems support
- Troubleshooting
- Integration setup/support

TRADING/MODEL REBALANCING

- Initial allocations (client account)
- Investment instructions updates (account level)
- Model rebalances
- Quality Review - Model Tolerance Check
- New model implementation

BILLING

- Monthly billing (advisors paid monthly)
- Detailed accounting/audit reports, personalized (advisor and manager level)
- Advisor Production Statement
- Billing Audit toolbox
- Fee File generation

ACCOUNT MAINTENANCE

- Cash Management
- Address changes
- Beneficiary updates/change
- Alternative investment purchases/maintenance

CUSTODIAL RELATIONSHIPS

- Potential cost savings - trading fees
- Systems integrations
- Service team integrations
- Custodian tier 1 support

REPORTING

- UMA Implementation
- Held-away assets reporting
- Quarterly statements
- Consolidated reporting/custom report builder

ON-BOARDING/TRANSITION PROCESSES

- Firewall Transition Process
- Transition Team
- Transition Toolbox
- Transition Support

ADVISOR/SUPPORT STAFF ONGOING SUPPORT

- Service Portal (ticketing system)
- Phone and Chat support
- On-site support
- Ongoing training

**CONTACT US TODAY AT 888-267-4820 TO LEARN HOW
ADVISOR OS CAN HELP YOU REACH YOUR GOALS**



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www.advisor-os.com

Advisor OS is a fully personalized operating system for growth-mindset advisors seeking a competitive advantage. We provide five distinct, yet integrated elements of service and support that allow advisors to focus on revenue generating activities with the confidence that their unique value proposition is reinforced, enhanced and supported.

- ONE** An Institutional-Grade Investment Process
- TWO** End To End Office Operations
- THREE** Full Suite of Marketing Capabilities
- FOUR** Best of Breed Fusion Elements Technology
- FIVE** A Vibrant Learning Community